# Measuring how far from fibrations are certain pairs of manifolds

Cornel Pintea

#### Abstract

Recall that the  $\varphi$ -category of a pair (M, N) of differentiable manifolds is defined as  $\varphi(M, N) := \min\{\#(C(f) \mid f \in C^{\infty}(M, N)\}\)$ , where C(f) is the critical set of f. In this paper we provide, by using the main results of [2], new pairs of manifolds with infinite  $\varphi$ -category. For the equivariant case we also provide new pairs of manifolds with infinitely many critical orbits.

Mathematics Subject Classification: 55Q05, 55N10. Key words: critical points and critical orbits, fibrations, equivariant mappings.

### 1 Introduction

In this section we just recall some results that have been proved in [2] as well as the definitions of the Stiefel and the Brieskorn manifolds.

**Theorem 1.1** ([2])

- 1. Assume that  $M^{n+1}$ ,  $N^n$  are compact connected differentiable manifolds.
  - (a) If  $n \ge 4$ ,  $\pi_1(M)$  is a torsion group and  $\pi_2(N) \simeq 0$ , then  $\varphi(M, N) = \infty$ ;
  - (b) If  $n \ge 5$  and  $\pi_q(M) \not\simeq \pi_q(N)$  for some  $q \in \{3, \ldots, n-2\}$ , then  $\varphi(M, N) = \infty$ ;
- 2. Let  $M^{n+2}$ ,  $N^n$  be compact connected differentiable manifolds. If  $n \ge 4$ ,  $\pi_2(N) \simeq 0$ ,  $\pi_1(M)$  is a torsion group such that  $\#\pi_1(M) \ge 3$  and also  $Hom(\pi_1(M), \pi_1(N)) = \{0\}$ , then  $\varphi(M, N) = \infty$ ;
- 3. Assume that  $M^{n+2}$ ,  $N^n$  are compact connected differentiable manifolds such that  $n \ge 5$ ,  $\pi_2(M) \simeq 0$  and  $\pi_3(N)$  is a torsion group.

(a) If 
$$\pi_2(N) \simeq 0$$
 and  $\pi_1(M)$  is a torsion group, then  $\varphi(M, N) = \infty$ ;

(b) If 
$$\pi_q(M) \not\simeq \pi_q(N)$$
 for some  $q \in \{3, \ldots, n-2\}$ , then  $\varphi(M, N) = \infty$ .

Th<br/>\* Fifth Conference of Balkan Society of Geometers, Aug. 29 - Sept. 2, 2005, Mangalia, Romania;<br/>BSG Proceedings 13, Geometry Balkan Press pp. 110-114.

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4. Assume that  $M^{n+k}$ ,  $N^n$  are compact differentiable manifolds such that  $\pi_1(M) \simeq 0$  and N is (k+1)-connected. If  $n \geq 4$ ,  $1 \leq k \leq n-3$  and  $H_k(M) \simeq 0$ , then  $\varphi(M, N) = \infty$ .

Let  $\overline{G}$ , G be two Lie groups acting on the manifolds M and N respectively. If  $\rho: \overline{G} \to G$  is a Lie group homomorphism, recall that a mapping  $f: M \to N$  is said to be  $\rho$ -equivariant if  $f(\overline{g}x) = \rho(\overline{g})f(x)$  for all  $\overline{g} \in \overline{G}$  and all  $x \in M$ . If  $\overline{G} = G$ , then any  $id_{G}$ -equivariant mapping  $f: M \to N$  is simply called equivariant.

**Theorem 1.2** ([2]) Let  $\overline{G}$ , G be compact Lie groups acting freely on the compact connected manifolds  $M^m$ ,  $N^n$  respectively and  $\psi : \overline{G} \to G$  be a Lie group homomorphism. Assume that  $\overline{G}$  is either G or its universal covering Lie group  $\widetilde{G}$  and that  $\psi$  is either  $id_G$  or the covering Lie group homomorphism  $\rho : \widetilde{G} \to G$  and denote by k the common dimension of  $\widetilde{G}$  and G.

- 1. If  $m = n + 1 \ge k + 6$  and  $\pi_q(M) \not\simeq \pi_q(N)$  for some  $q \in \{3, \ldots, n k 2\}$ , then any  $\psi$ -equivariant smooth mapping  $f : M \to N$  has infinitely many critical orbits.
- 2. If  $m = n + 2 \ge k + 7$ ,  $\pi_2(M/\overline{G}) \simeq 0$ ,  $\pi_3(N/G)$  is a torsion group and  $\pi_q(M) \not\simeq \pi_q(N)$  for some  $q \in \{3, \ldots, n-k-2\}$ , then any  $\psi$ -equivariant smooth mapping  $f: M \to N$  has infinitely many critical orbits.
- 3. Assume that  $\overline{G} = G = S^1$ .
  - (a) If  $m = n+2 \ge 8$ , N is a homotopy n-sphere and  $\pi_q(S^2) \not\simeq \pi_q(M), \pi_q(M) \not\simeq 0$  for some  $q \in \{3, \ldots, n-3\}$ , then any equivariant smooth mapping  $f : M \to N$  has infinitely many critical orbits.
  - (b) If  $m = n + 2 \ge 8$ , M is a homotopy m-sphere and  $\pi_{q-1}(S^2) \not\simeq \pi_q(N)$ ,  $\pi_q(N) \not\simeq 0$  for some  $q \in \{3, \ldots, n-3\}$ , then any equivariant smooth mapping  $f: M \to N$  has infinitely many critical orbits.

The Stiefel manifold  $V_{m+n,m}$  consists of all *m*-frames in  $\mathbb{R}^{m+n}$ . The compact orthogonal group O(m+n) is obviously acting transitively on  $V_{m+n}$  and the isotropy group of any point of  $V_{m+n,m}$  is a subgroup of O(m+n) isomorphic with O(n). Therefore the Stiefel manifold  $V_{m+n,m}$  is diffeomorphic with the compact homogeneous space O(m+n)/O(n), its dimension being  $mn + \frac{m(m-1)}{2}$ .

The Brieskorn manifold  $W_d^{2n-1}$ , where  $n \ge 2$  and  $d \ge 1$  are integers, is defined as the (2n-1)-dimensional real algebraic submanifolds of  $\mathbf{C}^{n+1}$  defined by the equations

$$z_0^d + z_1^2 + \dots + z_n^2 = 0$$
 and  $|z_0|^2 + |z_1|^2 + \dots + |z_n|^2 = 1$ .

If n = 2m is even, then  $W_d^{4m-1}$  is a rational homology sphere whose only nontrivial integral homology groups are, according to [1, Corollary 9.3, pp. 275], given by

$$H_{2m-1}(W_d^{4m-1}) \simeq \mathbf{Z}_d$$
 and  $H_0(W_d^{4m-1}) \simeq H_{4m-1}(W_d^{4m-1}) \simeq \mathbf{Z}.$ 

All manifolds  $W_d^{2n-1}$  are invariant under the standard linear action of O(n) on the  $(z_1, \ldots, z_n)$ -coordinates. If n = 2m is even there is a free circle action on  $W_d^{4m-1}$  given by the action of the circle group  $S^1 = Z(U(m)) \subset O(2m)$  where Z denotes the center. Moreover if n = 4m, then Sp(1) realized as subgroup of O(4m) by the scalar multiplication on  $\mathbf{R}^{4m} \simeq \mathbf{H}^m$ , acts also freely on  $W_d^{8m-1}$ . The quotient manifolds  $N_d^{4m-2} := W_d^{4m-1}/S^1$  and  $\tilde{N}_d^{8m-4} := W_d^{8m-1}/Sp(1)$  are simply connected. For more details see also [3].

## 2 New pairs of manifolds with infinite $\varphi$ -category

In this section we apply theorem 1.1 in order to provide some new pairs of manifolds with infinite  $\varphi$ -category and theorem 1.2 to provide some new pairs of *G*-manifolds having the property that all equivariant mappings between them have infinitely many critical orbits.

**Proposition 2.1** If  $m, n \ge 2$  and  $d := \dim(V_{m+n,m}) = p + q$ , where p = mn,  $q = \frac{m(m-1)}{2}$ , then we have the following infinite  $\varphi$ -categories:

1. (a) 
$$\varphi(V_{m+n,m}, S^{d-1}) = \infty$$
 and  $\varphi(S^{d+1}, V_{m+n,m}) = \infty$  for  $n \ge 3$ ;  
(b)  $\begin{cases} \varphi(V_{m+n,m}, P^{d-1}(\mathbf{R})) = \infty; \\ \varphi(P^{d+1}(\mathbf{R}), V_{m+n,m}) = \infty$  for  $n \ge 3$ ;  
(c)  $\begin{cases} \varphi(V_{m+n,m}, S^{p-1} \times S^q) = \infty; \\ \varphi(S^{p+1} \times S^q, V_{m+n,m}) = \infty$  for  $n \ge 3$ ;  
(d)  $\begin{cases} \varphi(V_{m+n,m}, P^{p-1}(\mathbf{R}) \times P^q(\mathbf{R})) = \infty; \\ \varphi(P^{p+1}(\mathbf{R}) \times P^q(\mathbf{R}), V_{m+n,m}) = \infty$  for  $n \ge 3$ ;  
(e)  $\begin{cases} \varphi(S^{p+1} \times SO(m), V_{m+n,m}) = \infty; \\ \varphi(P^{p+1}(\mathbf{R}) \times SO(m), V_{m+n,m}) = \infty \text{ for } n \ge 3$ ;  
(f)  $\begin{cases} \varphi(V_{m+n,m}, S^{p-1} \times SO(m)) = \infty \text{ for } m \ge 5; \\ \varphi(V_{m+n,m}, P^{p-1}(\mathbf{R}) \times SO(m)) = \infty \text{ for } m \ge 5; \end{cases}$   
(g)  $\varphi(V_{2n+2,2}, L_p^{2n+1} \times L_p^{2n-1}) = \infty$  and  $\varphi(L_p^{2n+1} \times L_p^{2n+1}, V_{2n+2,2}) = \infty$ .  
2. (a)  $\begin{cases} \varphi(P^{p+2}(\mathbf{R}) \times P^q(\mathbf{R}), V_{m+n,m}) = \infty; \\ \varphi(P^{p+2}(\mathbf{R}) \times SO(m), V_{m+n,m}) = \infty \text{ for } m \ge 5; \end{cases}$   
(b)  $\varphi(L_p^{2n+1}, L_q^{2n-1}) = \infty \text{ for } n, p \ge 3 \text{ and } p \ne q$ .  
3. (a)  $\begin{cases} \varphi(V_{m+n,m}, S^{d-2}) = \infty; \\ \varphi(S^{d+2}, V_{m+n,m}) = \infty \text{ for } m \ge 3 \text{ and } n \ge 4; \end{cases}$   
(b)  $\varphi(V_{m+n,m}, P^{d-2}(\mathbf{R})) = \infty \text{ for } m \ge 3 \text{ and } n \ge 4; \end{cases}$   
(c)  $\begin{cases} \varphi(V_{m+n,m}, S^{p-2} \times S^q) = \infty \text{ for } m \ge 3; \\ \varphi(S^{p+2} \times S^q, V_{m+n,m}) = \infty; \end{cases}$   
(d)  $\varphi(V_{n+2,2}, W_d^{2n-1}) = \infty, \text{ and } \varphi(W_d^{2n+3}, V_{n+2,2}) = \infty.$ 

*Proof.* Indeed (1a), (1b), (1c), (1d), (1e), (1f), (1g) follows by using theorem 1.1 (1a) taking into account that  $V_{m+n,m}$  is, (n-1)-connected [5, pp. 203], the homotopy groups

$$\pi_{2}(S^{d-1}), \ \pi_{2}(P^{d-1}(\mathbf{R})), \\ \pi_{2}(S^{p-1} \times S^{q}), \\ \pi_{2}(P^{p-1}(\mathbf{R}) \times P^{q}(\mathbf{R})), \\ \pi_{2}(S^{p-1} \times SO(m)), \\ \pi_{2}(P^{p-1}(\mathbf{R}) \times SO(m)), \\ \pi_{2}(L_{p}^{2n-1})$$

are obviously trivial, while the fundamental groups

$$\pi_1(S^{d+1}), \pi_1(P^{d+1}(\mathbf{R})), \pi_1(S^{p+1} \times S^q), \pi_1(P^{p+1}(\mathbf{R}) \times P^q(\mathbf{R})), \\ \pi_1(S^{p+1} \times SO(m)), \pi_1(P^{p+1}(\mathbf{R}) \times SO(m)), \pi_1(L_p^{2n+1} \times L_p^{2n+1})$$

are obviously finite. Similarly (2a), (2b) follows by using theorem 1.1 (2) while (3a), (3b), (3c) and (3d) follows by using theorem 1.1 (4).  $\Box$ 

**Proposition 2.2** Consider the previously defined  $S^1$  free action on  $W_d^{4m-1}$ ,  $m \ge 3$  and the  $Sp(1) = S^3$  free action on  $W_d^{8m-1}$ ,  $m \ge 3$  as well as the usual free actions of  $S^1$  on  $S^{4m+1}$  and on  $S^{4m-3}$  and that of  $Sp(1) = S^3$  on  $S^{4m-6}$  and on  $S^{4m+3}$ .

- 1. Any  $S^1$ -equivariant mapping  $f: S^{4m+1} \to W_d^{4m-1}$  has infinitely many critical orbits whenever d is an odd number. Also any equivariant mapping  $g: W_d^{4m-1} \to S^{4m-3}$  has infinitely many critical orbits.
- 2. Considering the  $S^3$  free action on  $S^{4m-6} \times S^{4m+3}$

$$S^3 \times \left(S^{4m-6} \times S^{4m+3}\right) \to S^{4m-6} \times S^{4m+3}, \ (q, (z_1, z_2)) \mapsto q(z_1, z_2) = (qz_1, qz_2),$$

any  $S^3$ -equivariant mapping  $f: W_d^{8m-1} \to S^{4m-6} \times S^{4m+3}, m \ge 3$  has infinitely many critical orbits.

*Proof.* (1) Indeed  $W_d^{4m-1}$  is a homotopy sphere whenever d is an odd number and  $\pi_{2m-1}(W_d^{4m-1}) \not\simeq 0$  since  $H_{2m-1}(W_d^{4m-1}) \simeq \mathbf{Z}_d \not\simeq 0$  and  $W_d^{4m-1}$  is (2m-2)connected. On the other hand  $\pi_3(S^2) \simeq \mathbf{Z}$  while  $\pi_4(W_d^{4m-1}) \simeq 0 \simeq \pi_3(W_d^{4m-1})$  for  $m \ge 3$ . Therefore f has, according to theorem 1.2 (3b), infinitely many critical orbits and g has, according to theorem 1.2 (3a), infinitely many critical orbits as well.

(2) By using the exact homotopy sequence of the fibration

$$S^3 \hookrightarrow W_d^{8m-1} \to W_d^{8m-1} / S^3 = \tilde{N}_d^{8m-4}$$

we get the exact sequence

$$\pi_3(W_d^{8m-1}) \to \pi_3(\tilde{N}_d^{8m-4}) \to \pi_2(S^3) \to \pi_2(W_d^{8m-1}) \to \pi_2(\tilde{N}_d^{8m-4}) \to \pi_1(S^3)$$

which ensures us that  $\pi_3(\tilde{N}_d^{8m-4}) \simeq 0 \simeq \pi_2(\tilde{N}_d^{8m-4})$  taking into account that  $S^3$  is 2-connected and  $W_d^{8m-1}$  is, according to [1, Corollary 9.3, pp. 275] and the Hurewicz theorem, (4m-2)-connected. In a completely similar way, by considering the exact homotopy sequence of the fibration

$$S^3 \hookrightarrow S^{4m-6} \times S^{4m+3} \longrightarrow \frac{S^{4m-6} \times S^{4m+3}}{S^3}$$

and by taking into account that  $S^3$  is 2-connected while  $S^{4m-6} \times S^{4m+3}$  is (4m-7)-connected, one can immediately deduce that

$$\pi_2\Big(\frac{S^{4m-6} \times S^{4m+3}}{S^3}\Big) \simeq 0 \simeq \pi_3\Big(\frac{S^{4m-6} \times S^{4m+3}}{S^3}\Big).$$

On the other hand we obviously have that

$$\pi_{^{4m-6}}\Big(\frac{S^{4m-6}\times S^{4m+3}}{S^3}\Big)\simeq \mathbf{Z}\not\simeq 0\simeq \pi_{^{4m-6}}(W_d^{8m-1}),$$

namely the quotient manifolds  $\tilde{N}_d^{8m-4}$ ,  $(S^{4m-6} \times S^{4m+3})/S^3$  satisfy the conditions of theorem 1.2 (2) such that the proof of (2) is now finished.

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#### Author's address:

Cornel Pintea "Babeş-Bolyai" University, Department of Geometry, 400084 M. Kogălniceanu 1, Cluj-Napoca, Romania. and Eastern Mediterranean University, Gazimağusa, North Cyprus, via Mersin 10, Turkey. email: cpintea@math.ubbcluj.ro, cornel.pintea@emu.edu.tr